

SHREEVATSAA FINANCE AND LEASING LIMITED

Reg. Off: 120/500 (10), LAJPAT NAGAR, KANPUR-208005

CIN: L45201UP1986PLC008364

Telephone No.: 0512-2530991/96, Fax: 0512-2532554

Email: investors.svfl@rediffmail.com, Website: www.svfl.in

June 09, 2020

To,

The Manager (Listing)
Corporate Relation Department
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai-400001

Scrip Code: 532007/SHVFL

Sub: Secretarial Compliance Report pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015

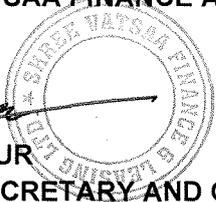
Dear Sir,

Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith Secretarial Compliance Report dated June 08, 2020 obtained from Mr. Rabindra Kumar Satapathy, Practicing Company Secretary (Membership No. 8282) of M/s. Rabi Satapathy & Associates, New Delhi for the year ended March 31, 2020.

Thanking you,

Yours faithfully,

For **SHREEVATSAA FINANCE AND LEASING LIMITED**



ASHISH THAKUR

(COMPANY SECRETARY AND COMPLIANCE OFFICER)



M/s RABI SATAPATHY & ASSOCIATES

Company Secretaries

Address: B1-1781, VASANT KUNJ, NEW DELHI-110070

Email- rsanewdelhi@gmail.com, rsaroc1@gmail.com

Mobile no.: 8700870181, 9811027139, 011-41811780

SECRETARIAL COMPLIANCE REPORT OF SHREEVATSAA FINANCE AND LEASING LIMITED FOR THE YEAR ENDED 31ST MARCH, 2020

We, Rabi Satapathy & Associates, Practicing Companies Secretaries, having our office at B1- 1781, Vasant Kunj, New Delhi-110070 have examined

- (a) all the documents and records made available to us and explanation provided by **Shreevatsaa Finance And Leasing** ("the listed entity"),
- (b) the filings/submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - **Not Applicable**;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: - **Not Applicable as the Listed Entity has not bought back / proposed to buy back any of its securities during the review period;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: - **Not Applicable as the Listed Entity has not issue any shares/option to director/employees under the guidelines/regulations during the review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: - **Not Applicable as the Listed Entity has not issued any debt securities during the review period;**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013: - **Not Applicable as the Listed Entity has not issued any Non-Convertible and Redeemable Preference Shares during the review period;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below -

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
Nil			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr.No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Nil				



- (d) The listed entity has taken the following actions to comply with the observations made in previous

reports:

Sr.No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable during the year under review				

For Rabind Satapathy & Associates
Practicing Company Secretaries



Rabindra Kumar Satapathy
(Proprietor)
FCSNo.: 8282
C.P. No.: 4270

Date: June 08, 2020
Place: New Delhi
UDIN: F008282B000323619